SoFi GP Winners' Club referral Campaign Promotion

Terms and Conditions

1. By participating in SoFi Securities (Hong Kong) Limited ("SoFi HK") SoFi GP Winners' Club referral Campaign Promotion ("Promotion"), you agree to be bound by the Terms and Conditions of the Promotion and any such variation.
2. This promotion is only applicable to selected clients who have received the relevant promotional email and in-app notification from SoFi HK ('Selected Clients').
3. By completing the below Tasks and fulfilling the relevant Task Requirements within the specified promotion period, you are entitled to the relevant Rewards ("Rewards") as stated in the below tables.
4. This Promotion runs from 16 July 2026 at 12:00 a.m. (HKT) to 15 October 2026 at 08:00 a.m. (HKT) (Promotion Period).

Task "SoFi GP Winners' Club referral Campaign - Referrer"

Task Requirements	Referral	Rewards
You are eligible for one Rewards for each referral (Capped at 20) as stated in the right-hand columns given that: *Task 1* -The referee has submitted an account opening application to SoFi HK using a valid referral code of you and successfully opened with their e-signature by 15 September 2026 at 11:59 p.m. (HKT) ; and *Task 2* -The referee successfully executed any buy or sell transaction of the HK Listed Securities or US Listed Securities ("Eligible Transactions") via SoFi HK mobile application by 23 September 2026 at 08:00 a.m. (HKT)	Each Referral	1 share of Alibaba Group Holding Limited (US:BABA)

Task "SoFi GP Winners' Club referral Campaign - Referee"

Task Requirements	Rewards
You are eligible for each Rewards: *Task 1* - Submit an account opening application to SoFi HK using a valid referral code; and -Your SoFi HK account has been approved and successfully opened with your e-signature by 15 September 2026 at 11:59 p.m. (HKT)	HK$1,000 Platform Fees Rebate*
Task 2 - Successfully executed any buy or sell transaction of the HK Listed Securities or US Listed Securities ("Eligible Transactions") via SoFi HK mobile application by 23 September 2026 at 08:00 a.m. (HKT)	1 share of Unilever PLC (US:UL)
Task 3 - Successfully executed any buy or sell Eligible Transactions that generated a total of at least HK$1,000 in platform fees and all qualified transactions must be completed by 15 October 2026 at 08:00 a.m. (HKT)	1 share of Unilever PLC (US:UL)

*While the HK$1,000 (or equivalent) Platform Fee Rebate is displayed in your SoFi HK mobile application upon successful account opening, it is only applied upon the execution of an eligible transaction. This rebate is applicable exclusively to transactions executed within the HK and US markets. To qualify for the rebate, trades must be executed on or before 15 October 2026 at 08:00 a.m. (HKT).

5. You can only complete Task "SoFi GP Winners' Club referral Campaign" once and you can only enjoy the relevant Rewards once.
6. .This Promotion cannot be used in conjunction with, or applied to, any other promotional events, offers, or incentives conducted by SoFi HK during the same promotional period, including but not limited to the SoFi Member Referral Program Promotion.
7. SoFi HK will deposit the stock Rewards to your SoFi HK account within 60 business days after the promotional end date. Your account must be active at that time. .
8. The Platform Fees Rebate Rewards will be displayed in your SoFi HK mobile application once the SoFi HK account has been approved and successfully opened.
9. The platform fees rebate tracking in the SoFi HK mobile application is for reference only and does not guarantee a rebate. Rebates are distributed one business day after the platform fee is deducted from your account. To receive a rebate, your account must be active at the exact time of distribution; accounts that are inactive, restricted, suspended, or closed for any reason at that time will automatically forfeit and permanently lose eligibility for any pending rebates, and such forfeited rebates cannot be recovered or reclaimed. SoFi HK reserves sole discretion over this promotion and the final calculation of all rebate amounts.

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10. Any trade transactions with commission waiver, IPO Subscription and any trade conducted under the Robo Advisory accounts and Crypto accounts will NOT be considered in the calculation of the eligible transactions.
11. All account opening processes are subject to SFC regulations and other laws and regulations. You must fulfill all requirements as imposed by SoFi HK in order to complete the account opening process.
12. You warrant and undertake that:
    a) You as a referrer did not and will not discuss with, recommend or otherwise encourage anyone to enter into any specific transactions with SoFi HK;
    b) You as a referrer did not and will not issue, publish or distribute any advertisement, marketing materials or other sales literature utilizing or making reference to SoFi HK or its products / services or use such means to solicit anyone;
    c) Referrals are not made by way of solicitation and should only be made at the request of the referee; and
    d) Your decision on opening an account with SoFi HK must be made pursuant to your own unprompted and informed self-directed decision. You are solely and exclusively responsible for determining whether any investment, or strategy, or any other product or service is appropriate or suitable for you. SoFi HK will not be liable for any losses that you may sustain.
13. A referee must not be:
    a) An existing client of SoFi HK; or
    b) A prior client of SoFi HK.
14. There are limited quotas for the Rewards and the Rewards are available on a first come first served basis.
15. This Promotion and Reward are subject to availability. SoFi HK reserves the right to replace the Reward with alternative items without prior notice and at SoFi HK's sole discretion.
16. You are responsible for and are required to pay all fees, charges and taxes associated with the Reward. SoFi HK shall be deemed to have discharged its obligations once the Reward has been deposited into your SoFi HK account.
17. This Promotion may not be transferred or sold.
18. All Rewards are non-exchangeable for cash and / or other gifts.
19. The Promotion is not applicable to SoFi HK staff.
20. This Promotion is not applicable to any individual who is a United Kingdom citizen, resident, or tax payer, or who has a registered address in the United Kingdom, or is otherwise deemed to have a sufficient nexus to the United Kingdom under applicable laws and regulations.
21. SoFi HK reserves the right, at its sole and absolute discretion, to vary, amend, or modify these terms and conditions, or to terminate, suspend, or withdraw this Promotion at any time without prior notice. Notice of such changes or termination may be posted on the SoFi HK website or via other means, but SoFi HK is not obliged to do so. Upon termination or withdrawal, all eligibility to participate and any rights to claim prizes, rewards, or compensation shall be immediately extinguished. SoFi HK shall not be liable for any loss or damage resulting from such changes or termination. In the event of any dispute, SoFi HK's decision shall be final, conclusive, and binding.
22. In the event of any inconsistency between these Terms and Conditions and any brochure, marketing or promotional material relating to this promotion, these Terms and Conditions will prevail.
23. SoFi HK reserves the right to interpret these Terms and Conditions. For any dispute arising from the Promotion, SoFi HK reserves the right of final decision.
24. These terms and conditions are prepared in both English and Chinese versions, in the event of discrepancy between the versions, the English version shall prevail.

Disclaimer

The above information is for reference only and does not constitute and should not be regarded as an offer, solicitation, invitation, investment advice, recommendation to buy, sell or otherwise deal with any investment instrument or product in any jurisdictions. Keep in mind that investing involves risk, and past performance of an asset never guarantees future results or returns. It's important for investors to consider their specific financial needs, goals, and risk profile before making an investment decision. You are encouraged to consult your own independent financial advisors before making any investment decision. Crypto products are available only to clients who reside in eligible jurisdictions (as determined by SoFi HK in its sole discretion), have completed all required onboarding procedures and assessments, and meet the applicable risk profile, suitability, and eligibility criteria in accordance with SoFi HK's internal policies and applicable laws and regulations, and SoFi HK reserves the right to verify, reassess, suspend, restrict, or terminate such eligibility at any time.